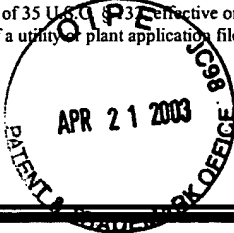


# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 33, effective on May 29, 2000, provides for the continued examination of a utility or plant application filed on or after June 8, 1995.



Application Number	09/227,529
Filing Date	January 8, 1999
First Named Inventor	KUMAGAI, et al.
Group Art Unit	2876
Name of Examiner	Larry D. TAYLOR
Attorney Docket No.	960569C

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**Note:** 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53 (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar 20, 2000) 1233 Off. Gazette Pat

## 1. Submission Required Under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other \_\_\_Petition for Extension of Time and Amendment Transmittal

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## 2. Miscellaneous

- a. ☐ Suspension of Action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months (period shall not exceed three months; Fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 01-2340
- i. ☐ RCE fee required under 37 C.F.R. § 1.17 (e) 04/22/2003 YPOLITE1 00000019 09227529
- ii. ☐ Extension of Time Fee (37 C.F.R. §§ 1.136 and 1.17) 02 FC:1801 750.00 OP  
03 FC:1201 84.00 OP
- iii. ☐ Other \_\_\_\_\_

- b. ☒ Check in the amount of \$1244.00 is enclosed (\$750.00 for the RCE, \$410.00 for the Petition for Extension of Time, and \$84.00 for the additional claims fees).

REQUEST FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for the continued examination of a utility or plant application filed on or after June 8, 1995.

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PATENT TRADEMARK OFFICE

Atty Docket No.

960569C

SIGNATURE BY APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name William L. Brooks

Registration No. 34,129 (attorney/agent)

Signature *William L. Brooks*

Date April 21, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, BOX RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

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#24/Pre-Amend 6  
5.12.03  
C. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KUMAGAI, et al.**

Group Art Unit: 2876

Serial No.: 09/227,529

Examiner: **Larry D. TAYLOR**

Filed: **January 8, 1999**

P.T.O. Confirmation No.: 8093

For: **A STAND FOR PIVOTABLE MOUNTING AN OPTICAL READING DEVICE  
(AS AMENDED)**

**PRELIMINARY AMENDMENT UNDER 37 CFR §1.111**

Commissioner for Patents  
Washington, D.C. 20231

April 21, 2003

Sir:

In response to the Office Action dated **November 20, 2002**, extended to **April 20, 2003**  
by a **two (2)** month Petition for Extension of Time, please amend the above-identified  
application as follows:

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